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| **SECTION A: Joint Research proposal**  |

Part A can be max. 12 pages, including literature references.

**A1. Title of the research proposal;**

**A2. Composition of the research team:** Please list the names of the researchers involved in the project, both in the State of Sao Paulo, Brazil and in the Netherlands including their roles);

**A3. List of key publications: Please** list 5-10 key publications by each research team dealing with the theme of this call;

**A4.** **Summary of research proposal including current and expected results**  (to be viewed by peer reviewers):

**A.4.1 in English** (app. 300 words):

**A4.2 in Portuguese** (app. 300 words):

**A5.** **Keywords** (max. 6 keywords):

**A6. Thematic approach and relevance to the theme(s) of the call** (see also section 4 of the call text);

**A7. Research lines of the PI in São Paulo related to the proposal that will benefit from the grant and exchanges;**

**A8. Research lines of the PI in the Netherlands that will benefit from the grant and exchanges;**

**A9. Research proposal** (app. 5000 words). Please consider sections 3.4 and 4.2 of the call text:

* Overall aim, key objectives, scientific background;
* Description of the expected academic gains for the project as a result of the proposed cooperation, emphasizing their singularity and specificity;
* Details of the activities foreseen in the exchange, objectively described, including justification and relevance, and the definition of the corresponding performance indicators;
* Actions to add to the impact of the exchange in the research activity in the State of São Paulo and in Netherlands, by means of visits to other institutions that carry out research activities in cognate areas;

**A10. Timeline** **of the proposed research**, including planned exchanges between labs and expected results;

**A11. Literature references**

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| **SECTION B: Dutch Principle Investigator and his/her host institution that will hold the research project:**  |

**B1. Dutch principal investigator personal data** *(who acts also as contact person for correspondence with NWO):*

|  |  |
| --- | --- |
| -Title: |       |
| -First name: |       |
| -Initials: |       |
| -Prefix: |       |
| -Surname: |       |
| -Address for correspondence: |       |
| -Telephone: |       |
| -Email: |       |
| -Website (optional): |       |

**B2. Host Institute** in the Netherlands

|  |  |
| --- | --- |
| Entity (University): |       |
| Host Institution: |       |
| Department: |       |

**B3. Declaration of intellectual property protection**

[ ]  **I declare that the intellectual property agreements between all involved parties, Dutch and Brazilian, will enter into force no later than after the signature of the of the research project grant.**

 **B4. Fields of research (compulsory)**

Please, for all applications, it is compulsory to fill out one or more research fields that correspond to the subject of your research proposal. You must use the descriptions and codes of NWO research fields list, which is found at: [http://www.nwo.nl/en/funding/funding+process+explained/research+fields](http://www.nwo.nl/en/funding/funding%2Bprocess%2Bexplained/research%2Bfields)

Please indicate the *main* field of research:

|  |  |
| --- | --- |
| Code:       | field of research:       |

If applicable, please indicate *other* fields of research, in order of relevance:

|  |  |
| --- | --- |
| Code:       | field of research:       |

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| **Cost estimates:** |

**B5.1 Budget of the research proposal requested by the Dutch Principle Investigator to NWO:**

|  |
| --- |
| **EXPENSES IN €****(separate decimals with a comma)** |
| SALARY  |       |
| - POSTDOC (XX MONTHS EMPLOYED) |       |
| - PhD STUDENT (XX MONTHS EMPLOYED) |       |
| EQUIPMENTS |       |
| MATERIALS AND SUPPLIES |       |
| TRAVEL EXPENSES FOR THE EXCHANGE VISITS |       |
| ACCOMODATION COSTS FOR THE EXCHANGE VISITS |       |
| WORKSHOP REALIZATION |       |
| OTHER COSTS (please specify)  |       |
| **TOTAL (MAX € 300,000)**  |  |

 **B5.2.Please indicate the time (percentage of fte) that the research team members will spend on the research;**

**B5.3. Please inform the planned starting date of the project;**

**B5.4. Please indicate if you have requested any additional grants for this project either from NWO, FAPESP, or from any other institution?**

[ ]  no

[ ]  yes (please specify, in case your answer is positive)

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|  |
| ENTITY | TOTAL REQUESTED | TOTAL AWARDED |
|       |       |       |
|       |       |       |
|       |       |       |

**B5.5. Please indicate which infrastructure and financial resources are already available for developing the joint research project?**

|  |
| --- |
| **Dutch Principal Investigator Curriculum vitae** |

**B6.1 Personal information:**

|  |  |
| --- | --- |
| -Title: |       |
| -First name: |       |
| -Surname: |       |
| [ ]  Male [ ] Female: |
| Date and place of birth: |       |

**B6.2****Doctorate:**

|  |  |
| --- | --- |
| University/College of Higher Education: |       |
| Date (dd/mm/yy): |       |
| Supervisor (‘Promotor’): |       |
| Title of the thesis: |       |

 **B6.3 Current employment:**

|  |
| --- |
| - Please specify the type of contract:       |

|  |  |  |
| --- | --- | --- |
| **Current position** | **Fixed term** | **Permanent**  |
|  | (end date) (mm/yy) |  |
| Assistant professor (UD) |       |       |
| Associate professor (UHD) |       |       |
| Full professor (HGL) |       |       |
| Other, please specify: |       |       |

**B6.4 Work experience since graduation:**

(per appointment: fte, permanent position (‘vast’) / fixed-term (‘tijdelijk’)

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|       |

**B6.5 Man-years of research:**

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|       |

**B6.6 Brief summary of research over the last five years:**

(maximum 250 words)

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|       |

 **B6.7 International activities:**

|  |
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|       |

 **B6.8 Other academic activities:**

|  |
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|       |

**B6.9 Scholarships and prizes:**

|  |
| --- |
|       |

**B6.10 Publications:** (maximum 15 key publications)

**- International (refereed) journals;**

**- National (refereed) journals;**

**- Books;**

**- Contributions to books;**

**- Others.**

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| **Statements by the Dutch applicant** |

[ ]  **I endorse and follow the Code Openness Animal Experiments (if applicable);**

[ ]  **I endorse and follow the Code Biosecurity (if applicable);**

[ ]  **I have completed this form and accompanying annexes truthfully.**

|  |
| --- |
| NAME:   |
| POSITION/TITLE:  |
| PLACE, DATE AND SIGNATURE:  |

**Please submit the application to NWO in electronic form (pdf format is required!) using the Iris system, which can be accessed via the NWO website (http://www.iris.nwo.nl). For any technical questions regarding submission, please contact the Iris helpdesk (iris@nwo.nl).**

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| SECTION C: Research proposal form to be completed by the principal investigator of the State of Sao Paulo  |

|  |
| --- |
| **C1) SAO PAULO STATE PRINCIPAL INVESTIGATOR (do not omit or abbreviate names)** |
|  |
| NAME:       |
| FAPESP CODE: |  |  |  |  |  |  |  |  ***(TO BE USED EXCLUSIVELY BY FAPESP)*** |
|  |
| C2) INSTITUTION/ENTITY WHERE THE RESEARCH PROJECT WILL BE DEVELOPED IN THE SAO PAULO STATE |
|  |
| ENTITY (University):       |
| HOST INSTITUTION (Institute, College, Center):       |
| **C3) Netherlands PRINCIPAL INVESTIGATOR (please do not omit or abbreviate names)** |
|  |
| NAME:       |
|  |
| C4) Netherlands INSTITUTION/ENTITY WHERE RESEARCH PROJECT WILL BE DEVELOPED: |
|  |
| HOST INSTITUTION (Institute, College, Center):       |
| DEPARTMENT:       |
| **C5) Collaborative researcher from other countries (if applicable) (please do not omit or abbreviate names)**  |
|  |
| NAME:       |
|  |
| C6) INSTITUTION/ENTITY WHERE RESEARCH PROJECT WILL BE DEVELOPED: |
|  |
| HOST INSTITUTION (Institute, College, Center):       |
| DEPARTMENT:       |
| **C7) JOINT RESEARCH PROJECT TITLE (please do not abbreviate)**  |
|  |
|       |

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| --- | --- |
| **C8) PROJECT TYPE (**[**information required by FAPESP - according to FAPESP**](http://www.fapesp.br/2000) **classification)** | PROJECT DURATION |
|  |
| AREA OF EXPERTISE:  | PROPOSED START DATE:       |
|  |
| CODE: |  |   |  |   |  |   |  |   |  |   | **- 0 0 -**  |   |  |  DURATION (MONTHS):  |
|  |

|  |
| --- |
| **C9) PROJECT’S KEY WORDS (up to six)** |
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| C10) JOINT RESEARCH PROJECT SUMMARY |
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| --- |
| IN ENGLISH:       |
| IN PORTUGUESE:       |

|  |
| --- |
| **C11) SUMMARY OF FUNDING REQUIRED FOR THE PROJECT TO FAPESP BY THE SAO PAULO STATE PRINCIPAL INVESTIGATOR (as shown in the Budget Worksheets for each type of expense and corresponding currency)** |
|  |
|  | **EXPENSES IN R$****(separate decimals with a comma)** | **EXPENSES IN US$****(separate decimals with a comma)** |
| EQUIPMENT |       |       |
| MATERIALS AND SUPPLIES |       |       |
| SERVICES |       |       |
| TRAVEL ALLOWANCE (PER DIEM) |       |       |
| TRAVEL EXPENSES |       |  |
| SCHOLARSHIPS (Technical Training Scholarships) |       |  |
| OTHER COSTS (please specify)       |       |       |
| **TOTAL** |  |  |

|  |  |
| --- | --- |
| **C12) PROJECT TYPE** [**(information required by FAPESP - according to FAPESP classification)**](http://www.fapesp.br/2000) | PROJECT DURATION |
|  |
| AREA OF EXPERTISE:  | PROPOSED START DATE:       |
|  |
| CODE: |  |   |  |   |  |   |  |   |  |   | **- 0 0 -**  |   |  |  DURATION (MONTHS):  |
|  |
| **C13)FAPESP TECHNICAL TRAINING SCHOLARSHIPS**  |
|  |
| **THE PROPOSAL REQUIRES FAPESP TECHNICAL TRAINING SCHOLARSHIPS?** | [ ]  YES | [ ]  NO |
| **IF “YES", PLEASE SPECIFY THE LEVEL AND TOTAL NUMBER OF SCHOLARSHIPS REQUESTED.** The requested scholarships, if granted, shall be properly registered in the **SAGe** system as Scholarships awarded as items in the grant budget. |

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| [**TECHNICAL TRAINING - TT**](http://www.fapesp.br/3098) | **NUMBER** | **HOURS DEDICATED PER WEEK** | **DURATION (months)** | **TOTAL REQUESTED (R$)** |
| **TOTAL TT** |  |  |       |  |
| [ ]  LEVEL 1 |       |       |       |       |
| [ ]  LEVEL 2 |       |       |       |       |
| [ ]  LEVEL 3 |       |       |       |       |
| [ ]  LEVEL 4 |       |       |       |       |
| [ ]  LEVEL 4A |       |       |       |       |
| [ ]  LEVEL 5 |       |       |       |       |

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| **C14) FAPESP SCHOLARSHIPS AND/OR GRANTS RELATED TO THIS PROPOSAL**1) Are there any FAPESP processes related to this proposal? If so, please inform below their corresponding process numbers.2) If any of the related processes is a Thematic Project, do you wish to formally entail this proposal as a “Supplemental funding request” to the Thematic Project? If so, please indicate to which one of them in the corresponding fields below. Please, also refer to the definition of “Supplemental funding requests” as described under “*Solicitação Complementar*” on <http://www.fapesp.br/176> ***item 7.4. Solicitações Complementares*** (in Portuguese).  |
|  |
|  | **PROCESSES** | **ENTAIL?** |
|  |  |  |  |  |
|  |  |  |  |  |  |  |  |  | **/** |  |  |  |  |  |  |  |  |  | **-** |  |  | [ ]  YES | [ ]  NO |  |
|  |  |  |  |  |
|  |  |  |  |  |  |  |  |  | **/** |  |  |  |  |  |  |  |  |  | **-** |  |  | [ ]  YES | [ ]  NO |  |
|  |  |  |  |  |
|  |  |  |  |  |  |  |  |  | **/** |  |  |  |  |  |  |  |  |  | **-** |  |  | [ ]  YES | [ ]  NO |  |
|  |  |  |  |

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| **C15) GRANTS REQUESTED TO OR AWARDED BY OTHER FUNDING AGENCIES RELATED TO THE PROPOSAL (please indicate currency):** **, FOR THE SAO PAULO STATE PRINCIPAL INVESTIGATOR:** |
|  |
| ENTITY | TOTAL REQUESTED | TOTAL AWARDED |
|       |       |       |
|       |       |       |
|       |       |       |

**C16) SAO PAULO STATE PRINCIPAL INVESTIGATOR APPLICANT STATEMENTS TO FAPESP:**

|  |
| --- |
| 1. **I declare to be aware of the procedures adopted for the analysis of this grant proposal under the FAPESP/NWO Agreemet. I authorize this proposal to be analysed according to these procedures and especifically to submit it to the analysis by reviewers chosen by FAPESP and NWO, whose identities will not be disclosed.**
2. **The pieces of information herein and those contained in my CV accompanying this proposal application were revised by myself and are correct and updated.**
3. **I am also aware that the Principal Investigator and the Host Institution are held totally responsible for requesting, obtaining, holding and showing whenever requested by FAPESP all applicable and legal authorizations required for the good execution of the Project. Such authorizations are to be issued by the competent official Agencies with whose policies the conduction of the research must comply as required by Law. (The currently required authorizations are described on** [**http://www.fapesp.br/4476**](http://www.fapesp.br/4476)**, in Portuguese). Since such authorizations can change according to the ongoing applicable legal requirements, it is strongly recommended that the Host Institution sector responsible for the pertinent orientation be consulted.**
4. **I am aware that the failure to fulfill the terms of this statement may compromise the analysis and the eventual granting of this proposal.**
5. **I declare to be aware of the project’s infrastructural needs and requirements and that the part of the Technical Reserve allocated to Research Infrastructural Expenses is destined towards the support of collective research infrastructure that benefits research projects supported by FAPESP in the Host Institution, according to the Employment Plan to be approved by the Institution collegiate body and submitted to FAPESP. The guidelines for the application of the part of the Technical Reserve allocated to Research Infrastructural Expenses can be found on** [**http://www.fapesp.br/rt**](http://www.fapesp.br/rt) **(in Portuguese).**
6. **Therefore, I declare that in case this Project is approved and for the duration of its contract, the researcher and group of researchers taking part in the project will have all the institutional support necessary for its development, as previously agreed with the principle investigator. Specially, the researcher and research group taking part in the Project will be granted physical space for the adequate installation and operation of the requested equipment, permission to use all facilities (laboratories, computer networks, library, databases etc.) and access to all services (lab technicians, administrative support etc.) available at the Institution and that are relevant for its fulfillment. If the development of the project is hindered or made impracticable due to the non-fulfillment of this clause and without previous consent from FAPESP, the Institution commits itself to reimburse FAPESP’s expenditure towards it.**
7. **I am also aware that the Principal Investigator and the Host Institution are held totally responsible for requesting, obtaining, holding and showing whenever requested by FAPESP, all applicable and legal authorizations required for the good execution of the Project. Such authorizations are to be issued by the competent official Agencies with whose policies the conduction of the research must comply as required by Law. (The currently required authorizations are described on** [**http://www.fapesp.br/4476**](http://www.fapesp.br/4476)**, in Portuguese). Since such authorizations can change according to the ongoing applicable legal requirements, it is strongly recommended that the Host Institution sector responsible for the pertinent orientation be consulted.**
8. **I am aware that the failure to fulfill the terms of this statement may compromise the course of future requests applied to FAPESP by researchers from the Host Institution.**
 |

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| NAME:   |
| POSITION/TITLE:  |
| PLACE, DATE AND SIGNATURE:  |

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| C17) LIST OF MANDATORY DOCUMENTS TO BE ATTACHED IN THE PROPOSAL, REQUIRED FOR ANALYSIS:  |
|  |
| ATTENTION:PLEASE SUBMIT ONE (1) PRINTED SET OF ALL THE FOLLOWING DOCUMENTS, INCLUDING THIS FORM, TO FAPESP. | Check-list |
| Applicant | **FAPESP/NWO** |
| **Joint Research Proposal Form** (**this form**)  | [ ]  | [ ]  |
| **Summary of the CV of the Principal Investigators and collaborating researchers on both sides, NWO and FAPESP** (for the São Paulo researchers, see bio sketch instructions at <http://www.fapesp.br/en/6351> | [ ]  | [ ]  |
| **SÃO PAULO State** [**Principal Investigators’s Registration Form**](http://www.fapesp.br/docs/formularios/forms/Cadastro_do_Pequisador_05-2011.doc) (in Portuguese). Please download the registration form (**in this form**) | [ ]  | [ ]  |
| **Research team description.** Please download the worksheet  | [ ]  | [ ]  |
| [**Joint Research Project**](http://www.fapesp.br/en/7473#3.4) (up to 12 pages) according to Section A (item 3.4) of the Call for Proposal.  | [ ]  | [ ]  |
| **Activity plans for each Technical Capacity Scholarships requested.**  | **[ ]**  | **[ ]**  |
| [**Budget requested to FAPESP for the project by the Sao Paulo State PI**](http://www.fapesp.br/en/7473#3.4)**.** Please enclose detailed budget according to FAPESP standards using the budget worksheets specifically designed for this Call, according to Section B (item 3.4) of the Call for Proposal. | **[ ]**  | **[ ]**  |
| ***Pro forma* invoices issued by suppliers/authorized sellers/representatives.** The presentation of three different *pro forma* invoices for each item of equipment is mandatory. Please advise if there is solely one exclusive supplier. | [ ]  | [ ]  |
| [**Description of the scientific equipments of the Sao Paulo Institution(s) hosting the project**](http://www.fapesp.br/137#4785) **in Sao Paulo State.**  | [ ]  | [ ]  |
| **Resource disbursement schedule.** This document should be presented at the moment of the execution of the Granting Award if the proposal is granted (in Portuguese).  | [ ]  | [ ]  |
| ***ATTENTION*: ALL PROPOSALS THAT ARE NOT ACCOMPANIED BY THE MANDATORY DOCUMENTS WILL BE RETURNED WITHOUTH REVIEW.** |  |  |

**FAPESP, FEBRUARY 2013**

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| --- |
| **CADASTRO DO PESQUISADOR** |
|  |  |
| APRESENTAÇÃO OBRIGATÓRIA EM TODOS OS PEDIDOS |
| **PESQUISADOR (não omita nem abrevie nomes)** |
|  |
| NOME:       |
| R.G.:       |
| SE ESTRANGEIRO, RNE:       | PASSAPORTE:       |
| OU OUTRO DOCUMENTO DE IDENTIFICAÇÃO, TIPO:       | NÚMERO:       |
|  |
| **FORMAÇÃO ACADÊMICA (assinale apenas os cursos concluídos ou em andamento)** |
|  |
| GRADUAÇÃO | Mês e ano de início:       | Mês e ano de conclusão:       |
| Curso:       | Duração em semestres:      |
| Instituição **(\*\*)** / Entidade **(\*)**:       |

|  |  |  |
| --- | --- | --- |
| MESTRADO | Mês e ano de início:       | Mês e ano de conclusão:       |
| Curso:       |
| Instituição **(\*\*)** / Entidade **(\*)**:       |
| Departamento:       |
| Orientador:       |
| Título da dissertação:       |

|  |  |  |
| --- | --- | --- |
| DOUTORADO | Mês e ano de início:       | Mês e ano de conclusão:       |
| Curso:       |
| Instituição **(\*\*)** / Entidade **(\*)**:       |
| Departamento:       |
| Orientador:       |
| Título da tese:       |

**VÍNCULO EMPREGATÍCIO**

|  |
| --- |
|  |
| **Possui vínculo empregatício com alguma Entidade?****[ ]  Sim [ ]  Não** |
| SE SIM, PREENCHA OS CAMPOS ABAIXO, INDICANDO O VÍNCULO EMPREGATÍCIO ATUAL MAIS RELEVANTE.  |
|  |
| **ENTIDADE (\*)**      |
| **INSTITUIÇÃO** **(\*\*)**      |
| Departamento:       |
| Função Atual:       |
| Ano de Início na Entidade:      | Ano de Início na Função:      |
| Regime de Trabalho:        |
| Cargos ou Funções recentes, incluindo Chefias e Coordenações:       |
| **SE NÃO HOUVER VÍNCULO EMPREGATÍCIO, INFORME NO QUADRO ABAIXO O VÍNCULO ATUAL MAIS RELEVANTE.** |
|  |
| Entidade **(\*):**       |
| Instituição **(\*\*):**       |
| Departamento:       |
| Tipo de vínculo **(\*\*\*):**       |
| Cargos ou Funções recentes, incluindo Chefias e Coordenações:       |

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| **SUB-ÁREAS EM QUE PODE DAR ASSESSORIA** **(indicar o código e o nome da sub-área - Consulte tabela FAPESP)** |
|  |
| CÓDIGOS DE SUB-ÁREAS: | NOMES DE SUB-ÁREAS: |  |
|  |  |  |
|  |   |  |   |  |   |  |   |  |   | **- 0 0 -** |   |  |  | 1)       |  |
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|  |   |  |   |  |   |  |   |  |   | **- 0 0 -** |   |  |  | 2)       |  |
|  |  |  |
|  |   |  |   |  |   |  |   |  |   | **- 0 0 -** |   |  |  | 4)       |  |
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**(\*)** É a organização a que se vincula a Instituição. Exemplos:Universidades Estaduais Paulistas ou Federais no Estado de São Paulo, Secretarias de Estado do Governo do Estado de São Paulo;

**(\*\*)** É a organização onde será desenvolvido o projeto e, em geral à qual se vincula o Pesquisador responsável. A Instituição deve ter autoridade orçamentária para garantir apoio infraestrutural. Exemplos: Faculdades, Escolas ou Institutos das Universidades Estaduais Paulistas, Centros em Universidades Federais, Institutos de Pesquisa Estaduais;

**(\*\*\*)** Pesquisador Visitante, Pesquisador, Pós-Doutorado, Pesquisador Colaborador, etc..

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| **PALAVRAS CHAVE, até dez, representativas para áreas de conhecimento em que atua** |
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|  |       |  |       |  |
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| **Endereço para correspondência (todos os campos devem ser preenchidos, mas deve ser indicado o endereço preferencial)** | [ ]  | Acadêmico | [ ]  | Residencial |
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| **ENDEREÇO ACADÊMICO DO PESQUISADOR (no Brasil)** |
| Rua ou Avenida:       | Nº:       |
| Complemento:       | CEP:       |
| Cidade:       | Estado:    |
| Telefones: (DDD):       | Telefone p/ contato (DDD):       |
| FAX (DDD):       | e-mail:       |
| Se preferir que a correspondência seja enviada à caixa postal: Caixa Postal:       | CEP:       |
| **ENDEREÇO RESIDENCIAL (no Brasil)** |
| Rua ou Avenida:       | Nº:       |
| Complemento:       | CEP:       |
| Cidade:       | Estado:    |
| Telefones (DDD):       | Tel. emergencial (DDD):       | FAX (DDD):       |
|   |
| DADOS ADICIONAIS |
|  |
| Data de nascimento:        | Sexo (M/F):   | Estado Civil:       |
|  C.P.F.:       |
| Naturalidade:  | Cidade:       | Estado:       | País:       |
| Nacionalidade:       |
| Nome do Cônjuge:        |
| RG do Cônjuge:       |
|  |
| **PESSOA A AVISAR EM CASO DE EMERGÊNCIA** |
|  |
| Nome:       |
| Endereço:       |
| Telefone (DDD):       | Ramal:       | Parentesco:       |
|  |
| **ENDEREÇO NO EXTERIOR (se houver)** |
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|       |

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| **OS ENDEREÇOS ACADÊMICO/RESIDENCIAL INFORMADOS ACIMA SÃO DIFERENTES DOS ANTERIORMENTE CADASTRADOS NA FAPESP? [ ]  Sim [ ]  Não** |

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| LOCAL, DATA E ASSINATURA DO PESQUISADOR |
|  |
|       |