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| BIOTA/FAPESP-DIMENSIONS/NSF RESEARCH PROPOSAL FORM ([www.fapesp.br/applicationform-BIOTA-Dimensions-NSF.doc](http://www.fapesp.br/applicationform-BIOTA-Dimensions-NSF.doc)) |  | PROTOCOL |
|  |  |  |
| **Thematic Project** |  |  |
| **FAPESP(BIOTA) – NSF (Dimensions of Biodiversity)** |  |  |
| **1- SÃO PAULO PRINCIPLE INVESTIGATOR (do not omit or abbreviate names)** | | |
|  | | |
| NAME: | | |
|  | | |
| 2- SÃO PAULO UNIT/INSTITUTION WHERE THE RESEARCH WILL BE DEVELOPED | | |
|  | | |
| INSTITUTION (University, Secretaries of the State Government): | | |
| UNIT (College, Center, Institute): | | |
| DEPARTMENT: | | |
| **3- U.S. PRINCIPLE INVESTIGATOR – (do not omit or abbreviate names)** | | |
|  | | |
| NAME: | | |
|  | | |
| 4- U.S. UNIT/INSTITUTION WHERE THE RESEARCH WOULD BE DEVELOPED | | |
|  | | |
| INSTITUTION (University): | | |
| UNIT (College, Center, Institute): | | |
| DEPARTMENT: | | |
| **5- PROJECT TITLE (do not abbreviate)** The title of the Research Project should begin with "*Dimensions US-BIOTA São Paulo*:" followed by the substantive title | | |
|  | | |
| IN PORTUGUESE: | | |
| IN ENGLISH: | | |

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| **6- PROJECT CLASSIFICATION (**[**www.fapesp.br/2000**](http://www.fapesp.br/2000)**)** | | | | | | | | | | | | | | | PROJECT DURATION |
|  | | | | | | | | | | | | | | | |
| AREA OF EXPERTISE: | | | | | | | | | | | | | | PROPOSED START DATE: | |
|  | | | | | | | | | | | | | | | |
| CODE: |  |  |  |  |  |  |  |  |  |  | **- 0 0 -** |  |  | DURATION (MONTHS): | |
|  | | | | | | | | | | | | | | | |
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| 7- PROPOSAL BINDING TO FAPESP BIOTA´S PROGRAM |
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| **The BIOTA´s Membership Form** (“*Formulário de Adesão*”) available at <http://www.fapesp.br/5223> must be fulfilled and signed by the SP PI agreeing to comply with the programs rules, and submitted with the proposal. |
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| 8- RESEARCH PROJECT SUMMARY | |
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| IN PORTUGUESE: | |
| IN ENGLISH: | |

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| **9- RESEARCH PROJECT KEY WORDS (up to six)** | | | | |
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| **10- SUMMARY OF FUNDING REQUESTED TO FAPESP FOR THE PROPOSAL.**  (please enclose detailed budget according to FAPESP standards) | | |
|  | | |
|  | **EXPENSES IN R$**  **(separate decimals with a coma)** | **EXPENSES IN US$**  **(separate decimals with a coma)** |
| EQUIPMENT |  |  |
| CONSUMABLES |  |  |
| SERVICES |  |  |
| TRAVEL ALLOWANCE (PER DIEM) |  |  |
| TRAVEL EXPENSES |  |  |
| FELLOWSHIPS |  |  |
|  |  |  |
| **TOTAL** |  |  |

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| **11- TECNICAL TRAINING FELLOWSHIPS** | | |
|  | | |
| **IT IS REQUESTED TECHNICAL TRAING FELLOWSHIPS?** | YES | NO |
| **If "YES", indicate the level(s) below.** | | |

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| [**TECHNICAL TRAINING**](http://www.fapesp.br/3098) | **QUANTITY** | **WEEKLY HOURS** | **DURATION (MONTHS)** | **TOTAL AMOUNT** |
| **TOTAL TT** |  |  |  |  |
| LEVEL 1 |  |  |  |  |
| LEVEL 2 |  |  |  |  |
| LEVEL 3 |  |  |  |  |
| LEVEL 4 |  |  |  |  |
| LEVEL 4A |  |  |  |  |
| LEVEL 5 |  |  |  |  |

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| The fellowships requested, if granted, must be registered at SAGE as **research project granted budget items**. |

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| **12- ACADEMIC FELLOWSHIPS** | | | |
| **SCIENTIFIC INITIATION, HONOR DOCTORATE AND POST DOCTORATE FELLOWSHIPS** | | | |
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| [**SCIENTIFIC INITIATION**](http://www.fapesp.br/248) | **QUANTITY** | **DURATION (MONTHS)** | **TOTAL AMOUNT** |
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| [**HONOR DOCTORATE**](http://www.fapesp.br/278) | **QUANTITY** | **DURATION (MONTHS)** | **TOTAL AMOUNT** |
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| [**POST DOCTORATE**](http://www.fapesp.br/270) | **QUANTITY** | **DURATION (MONTHS)** | **TOTAL AMOUNT** |
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| The fellowships requested, if granted, must be registered at SAGe as **research project granted budget items.** | | | |

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| **13- FAPESP FELLOWSHIPS AND GRANTS RELATED TO THIS APPLICATION**  **Please inform the numbers of the grants related to this proposal in the table below.** | | | | | | | | | | | | | | | | | | | | | | | | | |
|  | | | | | | | | | | | | | | | | | | | | | | | | | |
|  | **GRANT’S NUMBER** | | | | | | | | | | | | | | | | | | | | | | **WOULD YOU LIKE TO BIND THE GRANT TO THIS PROPOSAL?** | | |
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|  |  | |  |  |  |  |  |  |  | **/** |  |  |  |  |  |  |  |  |  | **-** |  |  | YES | NO |  |
|  | |  | | | | | | | | | | | | | | | | | | | | |  |  |  |
|  |  | |  |  |  |  |  |  |  | **/** |  |  |  |  |  |  |  |  |  | **-** |  |  | YES | NO |  |
|  | |  | | | | | | | | | | | | | | | | | | | | |  |  |  |
|  |  | |  |  |  |  |  |  |  | **/** |  |  |  |  |  |  |  |  |  | **-** |  |  | YES | NO |  |
|  | |  | | | | | | | | | | | | | | | | | | | | |  |  |  |
|  |  | |  |  |  |  |  |  |  | **/** |  |  |  |  |  |  |  |  |  | **-** |  |  | YES | NO |  |
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| **14- SUMMARY OF THE FUNDING REQUESTED TO NSF, FOR THE PROPOSAL BY THE U.S. PI.** | |
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|  | **AMOUNT REQUESTED (US$)** |
| OVERHEAD |  |
| EQUIPMENT |  |
| CONSUMABLES |  |
| SERVICES |  |
| TRAVEL ALLOWANCE (PER DIEM) |  |
| TRAVEL EXPENSES |  |
| POST DOCTORAL FELLOWSHIPS |  |
| OTHER COSTS |  |
| **TOTAL** |  |

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| **15- GRANTS REQUESTED TO OR AWARDED BY OTHER FUNDING AGENCIES RELATED TO THIS PROPOSAL (please indicate currency)** | | |
| **INSTITUTION** | **TOTAL REQUESTED** | **TOTAL AWARDED** |
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| **16-**  **TEAM MEMBERS (SÃO PAULO)** | | | | |
| NAME | ACADEMIC TITLE | INSTITUTION | UNIT | TIME DEDICATED TO THE PROJECT (PER WEEK) |
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| **17) SÃO PAULO INSTITUTE DIRECTOR´S STATEMENT (WHERE THE PROJECT WILL BE DEVELOPED)**  The institution is the organization where the project will be developed and, in general, to which the Principal Investigator is bound.  The institution must have budget authority to ensure infrastructural support.  **Examples of Institutes**: Colleges, Schools or Institutes in the State Universities and Private Universities in the State of Sao Paulo, Centers at Federal Universities and State Research Institutes.  **Examples of leaders**: Directors of São Paulo state university or private university Institute or Faculty, Director of a Center in a Federal University, Director of State Research Institutes, Dean of public or private institutions that do not have Centers, Institutes or Colleges. |
|  |
| **I declare:**   1. **To be aware of the project’s infrastructural needs and requirements and that the portion of the Technical Reserve allocated to Institution Research Infrastructural Expenses is destined to support collective research infrastructure, benefiting research projects supported by FAPESP in the Institution, according to the Employment Plan to be approved by the Institution’s Superior Collegiate and submitted to FAPESP. The guidelines for the application of this portion of the Technical Reserve can be found at** [**http://www.fapesp.br/rt**](http://www.fapesp.br/rt)**.** 2. **Therefore, I declare that, in case this Grant Proposal is approved and during its contract, the Principal Investigator and his/her group of researchers, participating in the project, will have all the institutional support necessary for its development, as previously agreed. Specially, the Principal Investigator and his/her group will be granted with physical space for adequate installation and operation of requested equipments and the permissions to use all required facilities (laboratories, computer networks, library, databases etc.) and access to all services (lab technicians, administrative support etc.) available at the institution with relevance for its fulfillment. If the development of the project is hindered or made impracticable due to the non-fulfillment of this clause, and without previous consent from FAPESP, the Institution commits itself to reimburse the total FAPESP’s expenditure used in the grant.** 3. **I'm aware that it is the exclusive responsibility of the Principal Investigator and the Host Institution, to request, to obtain, to possess and to demonstrate when requested by FAPESP, all the legal permits necessary and required for proper execution of the project, to be issued by Control and Inspection Agencies relating to the nature of the research when required. The items currently required are described in http://www.fapesp.br/4476. Since the requirements of these permits can be changed, it is strongly recommended that the Sector of the Host Institution, responsible for this orientation, be consulted.** 4. **I am aware that the failure to fulfill the terms of this statement may compromise the course of future requests applied to FAPESP by researchers from this Institution.** |
| NAME: |
| POST OR FUNCTION: |
| PLACE, DATE AND SIGNATURE: |

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| **18- APPLICANT´S DECLARATION:**  **I declare that: 1 - I know the procedures adopted by FAPESP for the analysis of the proposals for this program. I authorize that this proposal be analyzed according to its system analysis, in particular, that it be submitted to the analysis by researchers chosen by FAPESP, whose identities will be kept confidential; 2 - The information provided here for submission of this proposal, and the ones constant in my resume, have been reviewed by myself and is correct and current; 3 I'm aware that it is the exclusive responsibility of the Principal Investigator and the Host Institution, to request, to obtain, to possess and to demonstrate when requested by FAPESP, all the legal permits necessary and required for proper execution of the project, to be issued by Control and Inspection Agencies relating to the nature of the research when required. The items currently required are described in http://www.fapesp.br/4476. Since the requirements of these permits can be changed, it is strongly recommended that the Sector of the Host Institution, responsible for this orientation, be consulted;**  **4 - I am aware that the incorrect information provided here may hinder the evaluation and eventual granting of this proposal.** |
| PLACE, DATE AND SIGNATURE OF THE PRINCIPLE INVESTIGATOR. |

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| **19- ESSENTIAL DOCUMENTS TO BE ATTACHED FOR PROPOSAL MERIT ANALYSIS**  It is recommended to the SP PI, to verify if all documents are included in the proposal before submission.  Proposals with any missing document will be returned to the PI without review.  Compliance with these requirements is critical in determining the relevant proposal processing guidelines. Failure in submitting all these information may delay processing.  (see detailed instructions in the published Call for Proposals). |

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| **- IT SHOULD BE INCLUDED 1 (ONE) COPPY OF EACH DOCUMENT.**  **- THE DOCUMENTS MUST BE PREPARED IN THE LANGUAGES INDICATED BELLOW**  **- IT IS REQUESTED NOT TO BIND THE DOCUMENTS.** | Checking | |
|  | Proposer | **FAPESP** |
| **Research Proposal form customized for this Call (this form):** *the SP proposer should fill, sign and date the form, using it as a cover sheet to submit the proposal to FAPESP* (**in english**) |  |  |
| [**Principal Investigator Registration form**](http://www.fapesp.br/en/8409#9.3) (**in English**) |  |  |
| [**Registration of all researchers participating in the research project**](http://www.fapesp.br/en/8409#9.3) (**in English**) | ‘ |  |
| [**Summary of the PI and Co-PI’s CVs**](http://www.fapesp.br/en/6351) (**in English**) |  |  |
| **Research project** **title** as specified in section 9.2 (The title of the Research Project should begin with "*Dimensions US-BIOTA São Paulo*:" followed by the substantive title) (**in portuguese and english**) |  |  |
| **Research project summary** (inone-page as specified in section 9.2). *Proposals that do not address all three aspects in the project summary will be returned without review*. (**in portuguese and english**) |  |  |
| **Índex** (**in english**) |  |  |
| **US-SP cooperative** **Research project description** accompanied by the bibliography (within the maximum 15 pages). *An identical (single) scientific research project description must be submitted to FAPESP and to NSF as specified in section 9.2.* (**in english**) |  |  |
| **Summary of results obtained previously by the Principal Investigator from FAPESP grants and fellowships** in which the Principal Investigator was responsible or beneficiary, listing project titles and numbers of application *(in fewer than five pages, which would leave the balance of the 15 pages for the Project Description)* (**in english**) |  |  |
| **Project implementation Schedule** (“*cronograma de execução do projeto*) (**in english**) |  |  |
| **Description of the available infrastructure for project implementation** (**in english**) |  |  |
| [**Team description**](http://www.fapesp.br/en/8409#9.3)(**in English**) |  |  |
| **Budget worksheets specifically designed for this Call, with detailed and justified budget** conforming to FAPESP standards available at [www.fapesp.br/budget worksheets-BIOTA-Dimensions-NSF](http://www.fapesp.br/budget%20worksheets-BIOTA-Dimensions-NSF%20) (**in portuguese and english**) |  |  |
| **Budgets from suppliers or authorized representatives**. It is essential to present 03 (three) budgets for each permanent material. It must be informed when there is a single vendor. **(in portuguese)** |  |  |
| **Description of the Institution´s scientific equipment´s park** (**in english**) |  |  |
| **Disbursement´ schedule (“*cronograma de desembolso*”).** *It must be handed in the act of Grant Contract signature, in case the proposal is granted.* . **(in portuguese)** |  |  |
| **BIOTA´s Membership Form** (“*Formulário de Adesão*”) fulfilled and signed by the SP PI agreeing to comply with the programs rules (<http://www.fapesp.br/5223>) (**in portuguese**) |  |  |
| **Supplementary Documents**: A PDF copy of the documents of the US collaborator (Application form, CV, budgetary sheets, letters etc) should be included in the SP proposal to FAPESP as **Supplementary Documents** (see details in section **9.6**) | | |
| **NSF cover page**: A PDF version of the NSF application form, completed and submitted to NSF by the US PI should be included in the proposal submitted to FAPESP, as a Supplementary Document, by the SP PI. |  |  |
| **U.S. Senior Personnel Biographical Sketches**: A PDF version of the U.S. Senior Personnel Biographical Sketches following the format required by NSF should be included in the proposal submitted to FAPESP as a Supplementary Document. |  |  |
| **NSF budget worksheets**: A PDF version of the NSF budget worksheets containing the cost for U.S. components of the project should be included in the FAPESP proposal as a Supplementary Document. |  |  |
| **Data Management Plan (not exciding two pages)** with the specific details of data standards, accessibility, electronic dissemination, and preservation.(for details see section 9.5 iv) |  |  |
| **Mentoring activities for each application for Scientific Initiation, Technical Training, Doctoral and Post-Doctoral fellowships.** *Each proposal that requests funding to support postdoctoral researchers, direct doctoral, scientific initiation and technical training fellowships must include, as a supplementary document, a description of the mentoring activities that will be provided for such individuals as specified in the rules for the Thematic project published at* [*http://www.fapesp.br/176#4603*](http://www.fapesp.br/176#4603). Each mentoring plan should be written in a maximum of one page)- (**in english**) |  |  |
| **Letters of Collaboration (it should be used the template published in section 9.5 vi)**:  Letters of collaboration from U.S. scientists are required as supplementary document. *Each letter of collaboration must be signed by the designated collaborator. Letters deviating from the template will not be accepted and* ***will be returned without review****. Requests to collaborators for letters of collaboration should be made by the PI well in advance of the proposal submission deadline, because they must be included at the time of the proposal submission.* |  |  |
| **Institutional certification of the submission (endorsement)**: For the proposal submitted to FAPESP, an institutional certification of the submission should be included as a Supplemental Document. *This certification must be a signed letter from an authorized Sao Paulo state institutional representative, and should consist in the text as informed in section 9.5.vii.* |  |  |
| **Conflicts of Interest:** For the PI, all Co-PIs, and all Senior Personnel, including the US collaborators and SP collaborators, all persons or institutions with which there is a conflict of interest must be listed (for details see section 9.5.ix*). A single list containing the conflicts of interest should be prepared by the SP and US proposers, being included in both proposals (to FAPESP and to NSF).* |  |  |

**FAPESP, DECEMBER 2013**