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| RESEARCH PROPOSAL FORM |  | PROTOCOL |
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| **Regular Research Project in Public Policies** [**http://www.fapesp.br/politicaspublicas**](http://www.fapesp.br/politicaspublicas) |
| **FAPESP/MRC/CONFAP/Newton Fund - Health Systems** |
| **1- SÃO PAULO PRINCIPLE INVESTIGATOR (do not omit or abbreviate names)** | | |
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| NAME: | | |
| 2- SÃO PAULO UNIT/INSTITUTION WHERE THE RESEARCH WILL BE DEVELOPED | | |
|  | | |
| INSTITUTION (University, Research Institutes): | | |
| UNIT (College, Center, Institute): | | |
| DEPARTMENT: | | |
| PARTNER INSTITUTION (“Instituição Parceira”, Item 6 das normas - <http://www.fapesp.br/politicaspublicas#5136>): | | |
| **3- NAME AND COUNTRY OF THE PRINCIPLE INVESTIGATOR IN UK – WHO WILL SUBMIT TO MRC** | | |
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| NAME:       COUNTRY: | | |
| 4- UNIT/INSTITUTION WHERE THE RESEARCH WOULD BE DEVELOPED IN UK | | |
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| INSTITUTION (University), UNIT (College, Center, Institute) and DEPARTMENT : | | |
| **5- PROJECT TITLE (do not abbreviate)** | | |
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| IN PORTUGUESE: | | |
| IN ENGLISH: | | |

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| **6- PROJECT CLASSIFICATION (**[**www.fapesp.br/2000**](http://www.fapesp.br/2000)**)** | | | | | | | | | | | | | | | | | | | PROJECT DURATION | | |
|  | | | | | | | | | | | | | | | | | | | | | |
| AREA OF EXPERTISE: | | | | | | | | | | | | | | | | | | PROPOSED START DATE: | | | |
|  | | | | | | | | | | | | | | | | | | | | | |
| CODE: | | |  |  |  |  |  |  |  |  |  | |  | | **- 0 0 -** |  |  | DURATION (MONTHS): | | | |
|  | | | | | | | | | | | | | | | | | | | | | |
| **7- RESEARCH PROJECT KEY WORDS (up to six)** | | | | | | | | | | | | | | | | | | | |
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| 8- RESEARCH PROJECT SUMMARY |
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| IN PORTUGUESE: |
| IN ENGLISH: |

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| **9. SUMMARY OF FUNDING REQUESTED FOR PROJECT** | | | | |
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| **FAPESP EXPENSES** | | | | |
| **PROJECT** | | | | |
|  | | | **EXPENSES IN R$** | **EXPENSES IN US$** |
| **EQUIPMENT** | | **MPN** | **R$:** |  |
| **MPI** |  | **US$:** |
| **CONSUMABLES** | | **MCN** | **R$:** |  |
| **MCI** |  | **US$:** |
| **SERVICES** | | **STB** | **R$:** |  |
| **STE** |  | **US$:** |
| **TRAVEL ALLOWANCE** | | **DIP** | **R$:** |  |
| **DIE** |  | **US$:** |
| **TRAVEL EXPENSES (TRAN)** | | | **R$:** |  |
| **SUBTOTAL** | | | **R$:** | **US$:** |
| **PROJECT OVERHEADS** | **Project Infrastructure** | | **R$:** |  |
| 15% of the amount requested above; | |
| **Complementary Benefits** | | **R$:** |  |
| R$ 8.000,00 per year | |  |
| **Provision for Importation** | |  | **US$:** |  |
|  | 15% of the total budget requested for imported items – MPI and MCI | |  |
| **TOTAL 1** | | | **R$:** | **US$:** |

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| **FELLOWSHIPS** | | | | | | |
|  | | **Grant** | **Qty** | **Duration (months)** | **Total Value** |  |
| **Technical** | **Technical Training** | **Public Policies** |  |  |  |  |
| **SUBTOTAL** | | **R$:** | | | |  |
| **TOTAL 2** | | **R$:** | | | |  |
| **OVERHEADS for INSTITUTIONAL INFRASTRUCTURE (RTI)** | | **R$:** | | | |  |
| For Regular Awards: 10% of the amount requested above | | | | |
| **TOTAL 1 + TOTAL 2 + RTI (R$ and US$)** | | **R$:** | | | | **US$:      US$/R$ rate:       R$:** |
| **TOTAL VALUE (R$ + US$)** | | **R$:** | | | | |

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| **10- FAPESP FELLOWSHIPS AND GRANTS RELATED TO THIS APPLICATION**  **Please inform the numbers of the grants related to this proposal in the table below.** | | | | | | | | | | | | | | | | | | | | | | | | | | |
|  | | | | | | | | | | | | | | | | | | | | | | | | | | |
|  | **GRANT’S NUMBER** | | | | | | | | | | | | | | | | | | | | | | | **WOULD YOU LIKE TO BIND THE GRANT TO THIS PROPOSAL?** | | |
|  | |  | | | | | | | | | | | | | | | | | | | | | |  |  |  |
|  |  | |  |  |  |  |  |  |  | **/** |  |  |  |  |  |  |  |  |  | **-** |  | |  | YES | NO |  |
|  | |  | | | | | | | | | | | | | | | | | | | | | |  |  |  |
|  |  | |  |  |  |  |  |  |  | **/** |  |  |  |  |  |  |  |  |  | **-** |  | |  | YES | NO |  |
|  | |  | | | | | | | | | | | | | | | | | | | | | |  |  |  |
| **11- SUMMARY OF THE FUNDING REQUESTED TO THE MRC** | | | | | | | | | | | | | | | | | | | | | | | | | | | |
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|  | | | | | | | | | | | | | | | | | | | | | | **AMOUNT REQUESTED ()** | | | | | |
| OVERHEAD | | | | | | | | | | | | | | | | | | | | | |  | | | | | |
| EQUIPMENT | | | | | | | | | | | | | | | | | | | | | |  | | | | | |
| CONSUMABLES | | | | | | | | | | | | | | | | | | | | | |  | | | | | |
| SERVICES | | | | | | | | | | | | | | | | | | | | | |  | | | | | |
| TRAVEL ALLOWANCE (PER DIEM) | | | | | | | | | | | | | | | | | | | | | |  | | | | | |
| TRAVEL EXPENSES | | | | | | | | | | | | | | | | | | | | | |  | | | | | |
| FELLOWSHIPS | | | | | | | | | | | | | | | | | | | | | |  | | | | | |
| OTHER COSTS | | | | | | | | | | | | | | | | | | | | | |  | | | | | |
| **TOTAL** | | | | | | | | | | | | | | | | | | | | | |  | | | | | |

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| **12 - GRANTS REQUESTED TO OR AWARDED BY OTHER FUNDING AGENCIES RELATED TO THIS PROPOSAL (please indicate currency)** | | |
| **INSTITUTION** | **TOTAL REQUESTED** | **TOTAL AWARDED** |
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| **13 -**  **TEAM MEMBERS (SÃO PAULO)** | | | | |
| NAME | ACADEMIC TITLE | INSTITUTION | UNIT | TIME DEDICATED TO THE PROJECT (PER WEEK) |
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| **14) SÃO PAULO INSTITUTE DIRECTOR´S STATEMENT (WHERE THE PROJECT WILL BE DEVELOPED)**  The institution is the organization where the project will be developed and, in general, to which the Principal Investigator is bound. The institution must have budget authority to ensure infrastructural support.  **Examples of Institutes**: Colleges, Schools or Institutes in the State Universities and Private Universities in the State of Sao Paulo, Centers at Federal Universities and State Research Institutes.  **Examples of leaders**: Directors of São Paulo state university or private university Institute or Faculty, Director of a Center in a Federal University, Director of State Research Institutes, Dean of public or private institutions that do not have Centers, Institutes or Colleges. |
|  |
| **I declare:**   1. **To be aware of the project’s infrastructural needs and requirements and that the portion of the Technical Reserve allocated to Institution Research Infrastructural Expenses is destined to support collective research infrastructure, benefiting research projects supported by FAPESP in the Institution, according to the Employment Plan to be approved by the Institution’s Superior Collegiate and submitted to FAPESP. The guidelines for the application of this portion of the Technical Reserve can be found at** [**http://www.fapesp.br/rt**](http://www.fapesp.br/rt)**.** 2. **Therefore, I declare that, in case this Grant Proposal is approved and during its contract, the Principal Investigator and his/her group of researchers, participating in the project, will have all the institutional support necessary for its development, as previously agreed. Specially, the Principal Investigator and his/her group will be granted with physical space for adequate installation and operation of requested equipments and the permissions to use all required facilities (laboratories, computer networks, library, databases etc.) and access to all services (lab technicians, administrative support etc.) available at the institution with relevance for its fulfillment. If the development of the project is hindered or made impracticable due to the non-fulfillment of this clause, and without previous consent from FAPESP, the Institution commits itself to reimburse the total FAPESP’s expenditure used in the grant.** 3. **I'm aware that it is the exclusive responsibility of the Principal Investigator and the Host Institution, to request, to obtain, to possess and to demonstrate when requested by FAPESP, all the legal permits necessary and required for proper execution of the project, to be issued by Control and Inspection Agencies relating to the nature of the research when required. The items currently required are described in http://www.fapesp.br/4476. Since the requirements of these permits can be changed, it is strongly recommended that the Sector of the Host Institution, responsible for this orientation, be consulted.** 4. **I am aware that the failure to fulfill the terms of this statement may compromise the course of future requests applied to FAPESP by researchers from this Institution.** |
| NAME: |
| POST OR FUNCTION: |
| PLACE, DATE AND SIGNATURE: |

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| **15- APPLICANT´S DECLARATION:**  **I declare that: 1 - I know the procedures adopted by FAPESP for the analysis of the proposals for this program. I authorize that this proposal be analyzed according to its system analysis, in particular, that it be submitted to the analysis by researchers chosen by FAPESP, whose identities will be kept confidential; 2 - The information provided here for submission of this proposal, and the ones constant in my resume, have been reviewed by myself and is correct and current; 3 I'm aware that it is the exclusive responsibility of the Principal Investigator and the Host Institution, to request, to obtain, to possess and to demonstrate when requested by FAPESP, all the legal permits necessary and required for proper execution of the project, to be issued by Control and Inspection Agencies relating to the nature of the research when required. The items currently required are described in http://www.fapesp.br/4476. Since the requirements of these permits can be changed, it is strongly recommended that the Sector of the Host Institution, responsible for this orientation, be consulted;**  **4 - I am aware that the incorrect information provided here may hinder the evaluation and eventual granting of this proposal.** |
| PLACE, DATE AND SIGNATURE OF THE PRINCIPLE INVESTIGATOR: |

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| **16- ESSENTIAL DOCUMENTS TO BE ATTACHED FOR PROPOSAL MERIT ANALYSIS**   * It is recommended to the SP PI, to verify if all documents are included in the proposal before submission. * Proposals with any missing document will be returned to the PI without review. * Compliance with these requirements is critical in determining the relevant proposal processing guidelines. Failure in submitting all these information may delay processing. * See detailed instructions in the published Call for Proposals. |

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| **- IT SHOULD BE INCLUDED 1 (ONE) COPY OF EACH DOCUMENT.**  **- THE DOCUMENTS MUST BE PREPARED IN THE LANGUAGES INDICATED BELOW**  **- IT IS REQUESTED NOT TO BIND THE DOCUMENTS.** | Checking | |
|  | Proposer | **FAPESP** |
| **Research Proposal form customized for this Call (this form):** *the SP proposer should fill, sign and date the form, using it as a cover sheet to submit the proposal to FAPESP* (**in english**) |  |  |
| [**Principal Investigator Registration form**](http://www.fapesp.br/chamadas/Cadastro_do_Pesquisador.pdf) |  |  |
| [**Registration of all researchers participating in the research project**](http://www.fapesp.br/chamadas/Cadastro_do_Pesquisador.pdf) (**in English**) |  |  |
| [**Summary CVs of the Principal Investigator in the state of São Paulo and of the international partners**](http://www.fapesp.br/en/6351)  (**in English**) |  |  |
| **FAPESP Letter of Eligibility**, confirming the previous consultation and approval by FAPESP (item 4.2 of the [guidelines](http://www.fapesp.br/11089)). |  |  |
| **Case for Support containing the cooperative** **Research project description accordingly to Item 5.1 (f.2) of the Call for Proposals** (**in english**) |  |  |
| **Summary of results obtained previously by the Principal Investigator from FAPESP grants and fellowships** in which the Principal Investigator was responsible or beneficiary, listing project titles and numbers of application *(in fewer than five pages)* (**in english**) |  |  |
| **Description of the available infrastructure for project implementation** (**in english**) |  |  |
| [**Team description**](http://www.fapesp.br/en/teamdescription.xls)(**in English**) |  |  |
| [**Budget worksheets with detailed and justified budget**](http://www.fapesp.br/docs/formularios/forms/orcamentos_0817_2.xlsm)conforming to FAPESP standards. Please choose the option “Programa de Pesquisa em Políticas Públicas” in the spreadsheet headlines - (**in portuguese**). |  |  |
| **Budgets from suppliers or authorized representatives**. It is essential to present 03 (three) budgets for each permanent material. It must be informed when there is a single vendor. **(in portuguese)** |  |  |
| **Planning for Phase II (Application Phase)**: A solid plan for an implementation phase of the proposals that result from the successful implementation of the project. The financing of this phase is the responsibility of the partner institution (Please see details at **Item 6b**, <http://www.fapesp.br/politicaspublicas#5136>). The plan for Phase 2 should predict and justify not only the necessary expenditures and their sources (other than FAPESP), but also the impact and scope of public policy implementation. |  |  |
| **Partner Institution in the State of São Paulo**  An Agreement or Technical Cooperation Agreement between the research institution and the partner institution. Accordingly to **Item 6**, <http://www.fapesp.br/politicaspublicas#5136>. |  |  |
| **Description of the Institution´s scientific equipment´s park** (**in english**) |  |  |
| **Mentoring activities for each application for Technical Training fellowship.** *Each proposal that requests funding to support technical training fellowships must include, as a supplementary document, a description of the mentoring activities that will be provided for such individuals*. Each mentoring plan should be written in a maximum of one page)- (**in english**) |  |  |
| **Letters of Collaboration**  Letters of collaboration from other PIs are required as supplementary document. Each letter of collaboration must be signed by the designated collaborator. |  |  |
| **ANNEX II** - Approved information disclosed by the Host Institution(s) as to the institutional infrastructure needed for the project conduction. This document should be presented at the moment of the Grant Contract signature, if the proposal is successful (in Portuguese). |  |  |
| **Letter of Agreement** – This document may be presented at the moment of the Grant Contract signature, if the proposal is successful (item 3.5 of the guidelines) |  |  |

**FAPESP, AUGUST 2017**