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|  | **10** |
| RESEARCH PROPOSAL FORM - Young Investigator Award |  | PROTOCOL  |
|  |
| **NEWTON FUND – FAPESP / CONFAP / UK Academies** |
| **1) PRINCIPLE INVESTIGATOR - FAPESP (do not omit or abbreviate names)** |
|  |
| NAME:        |
| 2) HOST INSTITUTION (SÃO PAULO) |
|  |
| INSTITUTION (University):       |
| UNIT (Institute, College, Center):       |
| DEPARTMENT:       |
| **3) RELEVANT UK ACADEMY** |
|  |
| NAME:       |
| **4) PROJECT TITLE (please do not abbreviate)**  |
|  |
| IN PORTUGUESE |
| IN ENGLISH |
| **5) PROJECT AREA CODE (**[**according to FAPESP**](http://www.fapesp.br/2000) **list)** | PROJECT DURATION |
|  |
| AREA OF EXPERTISE:  | PROPOSED START DATE:       |
|  |
| CODE: |  |   |  |   |  |   |  |   |  |   | **- 0 0 -**  |   |  |  DURATION (MONTHS):  |
|  |
| 6) JOINING FAPESP SPECIAL PROGRAMS |
|  |
| WISH TO JOIN THIS PROJECT TO FAPESP SPECIAL PROGRAMS: [ ]  YES [ ]  NO |
|  |
| If YES, please fill in the specific Joining Form for the desired FAPESP Program available at: [www.fapesp.br/formularios/adesao](http://www.fapesp.br/formularios/adesao).  |

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| 7) RESEARCH PROJECT SUMMARY |
|  |
| IN PORTUGUESE |
| IN ENGLISH |
| **8) PROJECT’S KEY WORDS (up to six)** |
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|  |
|  |       |  |       |  |
|  |       |  |       |  |
|  |       |  |       |  |

**9) SUMMARY OF FUNDING REQUESTED TO FAPESP FOR THE PROPOSAL**

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| (Please, enclose the detailed budget according to FAPESP standards)  |
|  |
|  |
|  | **EXPENSES IN R$****(separate decimals with a comma)** | **EXPENSES IN US$****(separate decimals with a comma)** |
| EQUIPMENT (MPN | MPI) |       |       |
| MATERIALS AND SUPPLIES (MCN | MCI) |       |       |
| SERVICES (STB | STI) |       |       |
| TRAVEL ALLOWANCE (PER DIEM) (DIP | DIE) |       |       |
| TRAVEL EXPENSES (TRAN) |       |       |
| **OVERHEAD FUNDS** [www.fapesp.br/rt](http://www.fapesp.br/rt)  |
| RESEARCH INFRASTRUCTURE OVERHEAD – PROJECTRTPCIDP - % of the total budget requested: Young Investigator = 15%, Thematic projects = 20% |       |  |
| COMPLEMENTARY BENEFITSThematic Projects= R$12K/year/co-PIYoung Investigator = R$12K/year |       |  |
| PROVISION FOR IMPORTATION(15% of the total budget requested in US$) |  |       |
| **FELLOWSHIPS (please include the total amount for all fellowships requested in the selected modality)**  |
| [ACADEMIC](http://www.fapesp.br/176) FELLOWSHIPS |       |  |
| TECHNICAL TRAINING FELLOWSHIPS |       |  |
| FELLOWSHIPS OVERHEAD **(**total amount for all fellowships requested in the selected modality) |       |  |
| **OTHER COSTS (please specify)**       |       |       |
| **SUB-TOTALS** |  |  |
| RESEARCH INFRASTRUCTURE OVERHEAD - INSTITUTIONRTPCIIP of the total budget requested: Young Investigator =10%, Thematic projects = 20% |  |  |
| **Proposal TOTAL amount (R$/US$)**  |  |  |

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| **10) SCHOLARSHIPS/FELLOWSHIPS** |
|  |
| **REQUESTING MONTHLY SCHOLARSHIP ?** [ ]  YES[ ]  NO**If “YES”, EXPENSES FOR MOVING TO THE STATE OF SÃO PAULO are needed?** [ ]  YES[ ]  NO**If “YES” fill in the table below – also please send the extra due documentation** |
| **POST-DOCS FELLOWSHIPS GRANTED TO THE PROPONENT** |
| **Funding Agency** | **Host Institution** | **Start/End dates** |
|  |  |  |
|  |  |  |
|  |  |  |
| If the scholarships is granted it must be registered in the **SAGe system** as **Scholarships awarded as budget items.** |

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| **10.1** - **MOVING COSTS TO THE STATE OF SÂO PAULO (promotional tickets whenever possible)**ATENTION: this aid is only valid if necessary to move home from the current address to the Host Institution city in the State of São Paulo |
|  |  |
|  | **COST**  | **To FAPESP** |
| TICKET(S) (means of transport, place of origin/destination and stopover costs) |       |  |
|       |       |  |
|       |       |  |
|       |       |  |
| OTHERS **(specify)**       |       |  |
| TOTAL |       |  |
|  |  |
| MOVING COSTS: | [ ]  YES | [ ]  NO |  |
| PROPONENT TICKETS: | [ ]  YES | [ ]  NO |  |
| SPOUSE TICKETS: | [ ]  YES | [ ]  NO |  |
| CHILDREN TICKETS: | [ ]  YES | [ ]  NO | HOW MANY CHILDREN:  |       |
| **10.2 - TECHNICAL TRAINING SCHOLARSHIPS** |
|  |
| **ARE YOU ALSO APPLYING FOR FAPESP TECHNICAL TRAINING SCHOLARSHIPS?** | [ ]  YES | [ ]  NO |
| **IF YOU HAVE SELECTED “YES" PLEASE SPECIFY THE LEVEL AND QUANTITIES** |

|  |  |  |  |  |
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| [**TECHNICAL TRAINING - TT**](http://www.fapesp.br/3098) | **QUANTITY** | **HOURS DEDICATED PER WEEK** | **DURATION (months)** | **TOTAL REQUESTED (R$)** |
| **TOTAL TT** |  |  |  |  |
| [ ]  LEVEL 1 |       |       |       |       |
| [ ]  LEVEL 2 |       |       |       |       |
| [ ]  LEVEL 3 |       |       |       |       |
| [ ]  LEVEL 4 |       |       |       |       |
| [ ]  LEVEL 4A |       |       |       |       |
| [ ]  LEVEL 5 |       |       |       |       |

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| **10.3 - ACADEMIC SCHOLARSHIPS**  |
|  |
|  | **NUMBER** | **DURATION (months)** | **TOTAL REQUESTED (R$)** |
| **SCIENTIFIC INITIATION** |       |       |       |
|       |       |       |
|       |       |       |
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|  | **NUMBER** | **DURATION (months)** | **TOTAL REQUESTED (R$)** |
| **MASTER DEGREE** |       |       |       |
|       |       |       |
|       |       |       |
|       |       |       |

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|  | **NUMBER** | **DURATION (months)** | **TOTAL REQUESTED (R$)** |
| **HONORED DOCTORATE** |       |       |       |
|       |       |       |
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| **11) FAPESP SCHOLARSHIPS AND/OR GRANTS RELATED TO THIS PROPOSAL**Are there any FAPESP grants related to this proposal? If so, please inform below their corresponding process numbers. |
|  |
|  | **GRANT ID** | **Comments** |
|  |  |  |  |  |
|  |  |  |  |  |  |  |  |  | **/** |  |  |  |  |  |  |  |  |  | **-** |  |  |       |
|  |  |  |  |  |
|  |  |  |  |  |  |  |  |  | **/** |  |  |  |  |  |  |  |  |  | **-** |  |  |       |
|  |  |  |  |  |
|  |  |  |  |  |  |  |  |  | **/** |  |  |  |  |  |  |  |  |  | **-** |  |  |       |
|  |  |  |  |  |
|  |  |  |  |  |  |  |  |  | **/** |  |  |  |  |  |  |  |  |  | **-** |  |  |       |
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| **12) GRANTS REQUESTED TO OR AWARDED BY OTHER FUNDING SOURCES RELATED TO THE PROPOSAL (please indicate currency)** |
|  |
| **FUNDING SOURCE** | TOTAL REQUESTED | TOTAL AWARDED |
|       |       |       |
|       |       |       |
|       |       |       |
|       |       |       |
|       |       |       |

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| **13) STATEMENT FROM THE DIRECTOR OF THE UNIT WHERE THE PROJECT WILL BE DEVELOPED** |
|  |
| 1. **I declare to be aware of the project’s infrastructural needs and requirements and that the part of the Technical Reserve allocated to Research Infrastructural Expenses is destined towards the support of collective research infrastructure that benefits research projects supported by FAPESP in the Unit, according to the Employment Plan to be approved by the Unit’s collegiate body and submitted to FAPESP. The guidelines for the application of the part of the Technical Reserve allocated to Research Infrastructural Expenses can be found on** [**http://www.fapesp.br/rt**](http://www.fapesp.br/rt) **(in Portuguese).**
2. **Therefore, I declare that in case this Project is approved and for the duration of its contract, the researcher and group of researchers taking part in the project will have all the institutional support necessary for its development, as previously agreed with the principle investigator. Specially, the researcher and research group taking part in the Project will be granted physical space for the adequate installation and operation of the requested equipment, permission to use all facilities (laboratories, computer networks, library, databases etc.) and access to all services (lab technicians, administrative support etc.) available at the institution and that are relevant for its fulfillment. If the development of the project is hindered or made impracticable due to the non-fulfillment of this clause and without previous consent from FAPESP, the Institution commits itself to reimburse FAPESP’s expenditure towards it.**
3. **I am also aware that the Principal Investigator and the Host Institution are held totally responsible for requesting, obtaining, holding and showing whenever requested by FAPESP all applicable and legal authorizations required for the good execution of the Project. Such authorizations are to be issued by the competent official Agencies with whose policies the conduction of the research must comply as required by Law. (The currently required authorizations are described on** [**http://www.fapesp.br/4476**](http://www.fapesp.br/4476)**, in Portuguese). Because such authorizations can change according to the ongoing applicable legal requirements, it is strongly recommended that the Host Institution sector responsible for the pertinent orientation be consulted.**
4. **I am aware that the failure to fulfill the terms of this statement may compromise the course of future requests applied to FAPESP by researchers from this Unit.**
 |
| NAME:   |
| POSITION/TITLE:  |
| PLACE, DATE AND SIGNATURE:  |

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| **14) STATEMENT FROM THE DIRECTOR OF THE UNIT WHERE THE PROJECT WILL BE DEVELOPED** |
|  |
| 1. **I declare to be aware of the procedures adopted by FAPESP for the analysis of requests in this program. I authorize this request to be analysed according to these procedures and especifically to submit it to the analysis of researchers chosen by FAPESP, whose identities will not be disclosed.**
2. **The pieces of information herein and those contained in my CV accompanying this proposal application were revised by myself and are correct and updated.**
3. **I am also aware that the Principal Investigator and the Host Institution are held totally responsible for requesting, obtaining, holding and showing whenever requested by FAPESP all applicable and legal authorizations required for the good execution of the Project. Such authorizations are to be issued by the competent official Agencies with whose policies the conduction of the research must comply as required by Law. (The currently required authorizations are described on** [**http://www.fapesp.br/4476**](http://www.fapesp.br/4476)**, in Portuguese). Because such authorizations can change according to the ongoing applicable legal requirements, it is strongly recommended that the Host Institution sector responsible for the pertinent orientation be consulted.**
4. **I am aware that the failure to fulfill the terms of this statement may compromise the analysis and the eventual granting of this proposal.**
 |
| PLACE, DATE AND APPLICANT’S SIGNATURE**:** |

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| 15) DOCUMENTS TO BE ATTACHED  |
|  |
| *MANDATORY DOCUMENTS REQUIRED FOR ANALYSIS* | Check-list |
| Applicant | **FAPESP** |
| **Research Proposal Form** (this document) | [ ]  | [ ]  |
| [Researcher’s Registration Form](http://www.fapesp.br/chamadas/Cadastro_do_Pesquisador.pdf) (in Portuguese) | [ ]  | [ ]  |
| [Summary](http://www.fapesp.br/en/6351) of the Principal Investigator’s CVs and of each researcher involved on both the State of São Paulo and UK sides and of any other research team member (in English) | [ ]  | [ ]  |
| **Abstract** Summary of the results originated from previous Fellowships and Grants from FAPESP, discriminating the title of the projects and process number (In English- up to two pages) | [ ]  | [ ]  |
| [Research team description](http://www.fapesp.br/en/teamdescription.xls) (in English - please use the specific spreadsheet for this purpose)  | [ ]  | [ ]  |
| **Research Plan** as outlined at item 8 (9a up to 9h) of Young Investigator guidelines <http://www.fapesp.br/en/4479#4543> (in English, no more than 20 pages) | [ ]  | [ ]  |
| When applicable, the corresponding **activity plans** for each one of the requested Technical Training, Masters and Direct Doctorate **Scholarships (**in English) | **[ ]**  | **[ ]**  |
| **Budget Worksheets** <http://www.fapesp.br/formularios/planilhas/>; please, enclose the detailed budget according to FAPESP standards (in English) | [ ]  | [ ]  |
| ***Pro forma* invoices** issued by suppliers/authorized sellers/representatives. Presentation of three different *pro forma* invoices for each item of equipment is mandatory. **Please submit related documentation if there is only one exclusive supplier.** | [ ]  | [ ]  |
| **Certificate** of PhD Conclusion (if the grant is approved) | [ ]  | [ ]  |
| **Justification of the “emerging” characteristics** of the research center to be developed and of the role of the proponent in this effort (in English, up to two pages). | [ ]  | [ ]  |
| **Written justifications**for each budget item listed in the above Budget Worksheet. If applicable, individually list each mission trip and include a timeline for each specific exchange mission and collaborative activities (in English) | [ ]  | [ ]  |
| [Description of the scientific equipment base](http://www.fapesp.br/137#4785) of the Institution(s) hosting the Project. (In English - guidelines available at: [www.fapesp.br/137#4785](http://www.fapesp.br/137#4785))  | [ ]  | [ ]  |
| [ANNEX II](http://www.fapesp.br/formularios/doc/anexoII_TO_8_2015.pdf) - Approved information disclosed by the Host Institution(s) as to the institutional infrastructure needed for the project conduction. This document should be presented at the moment of the Grant Contract Signature, if the proposal is granted (in Portuguese). | [ ]  | [ ]  |
| ***ATTENTION*: ALL REQUESTS THAT ARE NOT ACCOMPANIED BY THE MANDATORY DOCUMENTS FOR ANALYSIS WILL BE RETURNED.** |  |

**FAPESP, AUGUST 2016**